

# Realization of some orbit equivalence classes.

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- 1 Orbit equivalence and invariants
- 2 Generalized odometers.
- 3 Realization of uniquely ergodic orbit equivalence classes.
- 4 Proof Ideas

# Framework

We deal with dynamical systems  $(X, T)$  such that:

- $X$  is a Cantor set,
- $T : X \rightarrow X$  is a homeomorphism (sometimes one-to-one up to a negligible set),
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We call  $(X, T)$  a **minimal Cantor system**.

# Examples

- Substitution subshifts associated to primitive substitutions (expansive, uniquely ergodic, zero entropy).
- Odometers (equicontinuous, uniquely ergodic, zero entropy).
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**General problem:** to find a particular family of minimal Cantor systems having a representative element from each orbit equivalence class.

# Invariants associated to the orbit equivalence.

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**Remark:** If  $\mathcal{F}$  is a family having a representative element from each orbit equivalence class, then for every metrizable Choquet simplex  $K$  there exist  $(X, T) \in \mathcal{F}$  and an affine bijection between  $\mathcal{M}(X, T)$  and  $K$  (the converse is not true!!).

# Invariants associated to the orbit equivalence.

The **ordered group with unit** associated to  $(X, T)$ :

$$\mathcal{G}(X, T) = (G(X, T), G(X, T)^+, u(X, T)),$$

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$\mathcal{G}(X, T)$  is a simple dimension group (see [Herman, Putnam, Skau 92]).

Suppose that  $(X, T)$  is uniquely ergodic.

- The homomorphism of groups

$$\begin{aligned}\phi : C(X, \mathbb{Z}) &\longrightarrow \mathbb{R} \\ f &\longrightarrow \int fd\mu\end{aligned}$$

induces an isomorphism of ordered group with unit between  $\mathcal{G}(X, T)$  and  $(\phi(C(X, \mathbb{Z})), \phi(C(X, \mathbb{Z})) \cap \mathbb{R}^+, 1)$ .

- Conversely, if  $\mathbb{Z} \subseteq \Gamma \subseteq \mathbb{R}$  is a countable subgroup, then there exists  $(X, T)$  such that  $\mathcal{G}(X, T)$  is isomorphic to  $(\Gamma, \Gamma \cap \mathbb{R}^+, 1)$  (consequence of [Herman, Putnam, Skau 92]).

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From [Giordano, Putnam, Skau 95]:  $(X_1, T_1)$  and  $(X_2, T_2)$  are orbit equivalent iff  $\mathcal{G}(X_1, T_1)$  and  $\mathcal{G}(X_2, T_2)$  are isomorphic as ordered groups with unit.

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**Remark:** a family of minimal Cantor systems  $\mathcal{F}$  has a representative element from each uniquely ergodic orbit equivalence class iff  $\mathcal{F}$  realizes every ordered group of the kind  $(\Gamma, \Gamma \cap \mathbb{R}^+, 1)$ .

# Comments

- The ordered group associated to a Toeplitz subshift has a non trivial rational part. Thus the family of Toeplitz subshifts does not realizes every orbit equivalence class (for example, they can not be orbit equivalent to a Sturmian subshift).
- From [Putnam, Schmidt, Skau 86] and [Giordano, Putnam, Skau 95]: Denjoy systems realize every uniquely ergodic orbit equivalence class (and these are the unique orbit equivalence classes that they realize).
- The family of *generalized odometers* coming from unimodal maps is a candidate family to realize every orbit equivalence class.

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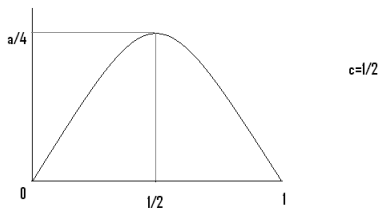
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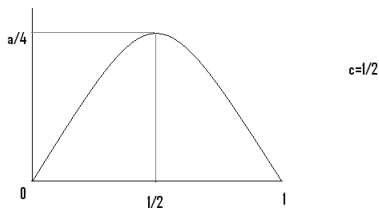
# Generalized odometer associated to a unimodal map $f$ .

Let  $f : [0, 1] \rightarrow [0, 1]$  be the **unimodal map** given by  $f(x) = ax(1-x)$ , where  $a \in (0, 4]$ .



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The orbit of  $c$  defines an increasing sequence of integers  $(S_k)_{k \geq 0}$  (called "cutting times") verifying:  $S_0 = 1$ ,  $S_{k+1} > S_k$ , and  $S_{k+1} \leq 2S_k$ .

# Generalized odometer associated to a unimodal map $f$ .

Before to define the generalized odometer associated to  $f$ :

- Let  $k \geq 0$  be the unique integer satisfying  $S_k \leq n < S_{k+1}$ .
- We set  $\langle n \rangle_k = 1$  and  $m = n - S_k$ . If  $m = 0$  we stop. If  $m > 0$  we go the precedent step with  $n = m$ .
- We get  $n = \sum_{i \geq 0} \langle n \rangle_i S_i$ , defining  $\langle n \rangle_i = 0$  for every  $i \geq 0$  such that  $\langle n \rangle_i$  was not defined before.

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From [Bruin, Keller, St.Pierre 97]:

- $T_f : \{\langle n \rangle : n \in \mathbb{N}\} \rightarrow \{\langle n \rangle : n \in \mathbb{N}\}$  given by  $T(\langle n \rangle) = \langle n + 1 \rangle$ , extends to a unique continuous map on

$$\Omega_f = \overline{\{\langle n \rangle : n \in \mathbb{N}\}}.$$

- The system  $(\Omega_f, T_f)$  is the **generalized odometer** associated to  $f$ .
- $(\Omega_f, T_f)$  is minimal and  $T_f^{-1}$  is well defined on  $\Omega_f \setminus \langle 0 \rangle$ .
- There exists a topological factor  $\pi : (\Omega_f, T_f) \rightarrow (\omega(c), f|_{\omega(c)})$ , where  $\omega(c)$  is the omega limit set of  $c$  (and sometimes, this factor map is one-to-one on a full measure set).

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## The Bratteli-Vershik representation of the generalized odometer [Bruin 03]:

- There exists  $Q : \mathbb{N} \rightarrow \mathbb{N}$  such that  $Q(0) = 0$ ,  $Q(k) < k$  and  $S_k = S_{k-1} + S_{Q(k)}$  (the "kneading map" associated to  $f$ ).
- The phase space of the generalized odometer:

$$\Omega_f = \{(x_n)_{n \geq 0} \in \{0, 1\}^{\mathbb{N}} : x_k = 1 \Rightarrow x_j = 0 \forall Q(k+1) \leq j \leq k-1\}.$$

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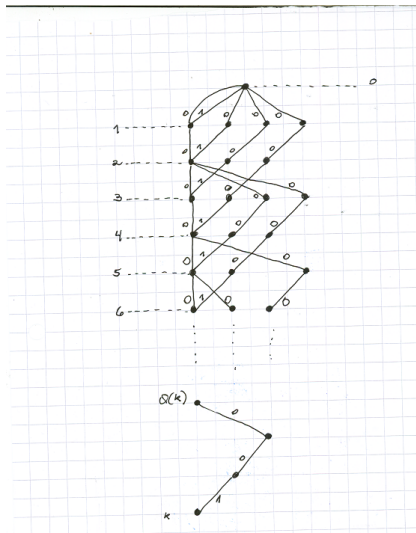
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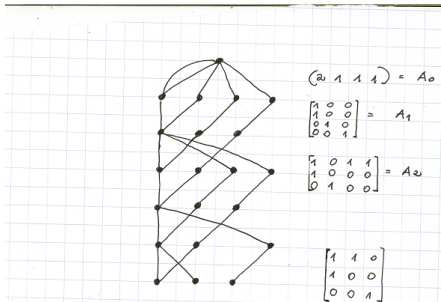
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# Bratteli-Vershik representation



The incidence matrices are

$$\begin{bmatrix} 1 & 0 & \dots & 0 & 1 & \dots & 1 \\ 1 & 0 & \dots & 0 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 0 & 1 & 0 & \dots & 0 \end{bmatrix}$$

some permutation  
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**Remark:** Every usual odometer is a generalized odometer defined by a unimodal map.

## Theorem

(C, Rivera-Letelier 2010) For every metrizable Choquet simplex  $K$ , there exists  $f$  such that there is an affine homeomorphism from  $K$  to  $\mathcal{M}(\Omega_f, T_f)$  and  $\mathcal{M}(\omega(c), f|_{\omega(c)})$ .

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The family  $\mathcal{F}$  of all the (natural extensions of) generalized odometers coming from unimodal maps is a candidate to realize every orbit equivalence class.

# Uniquely ergodic orbit equivalence classes.

## Theorem

*(C, Rivera-Letelier 2011) For every uniquely ergodic minimal Cantor system  $(X, T)$  there exists a unimodal map  $f$ , such that  $(X, T)$  is orbit equivalent to (the natural extension of)  $(\Omega_f, T_f)$ .*

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**Open question:** realization of all the orbit equivalence classes.

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$$\begin{pmatrix} x_1 \\ \vdots \\ x_d \end{pmatrix} = \begin{pmatrix} a_1 & 1 & \dots & 1 \\ \vdots & 0 & & \vdots \\ a_{d-1} & \vdots & & 1 \\ 1 & 0 & \dots & 0 \end{pmatrix} \begin{pmatrix} x'_1 \\ \vdots \\ x'_{d'} \end{pmatrix},$$

up to permutations of the last  $d - 1$  columns, and up to some  $d - d'$  columns of the last  $d - 1$  columns of the matrix.

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- Let define  $y_d = x_d$  and  $b_d = 1$ .
- For  $1 \leq j \leq d - 1$  we define

$$y_j = x_d \left\{ \frac{x_j - x_{j+1}}{x_d} \right\}, b_j = \left[ \frac{x_j - x_{j+1}}{x_d} \right] \text{ and } a_j = \sum_{k=j}^d b_k.$$

- After some permutations of the indices we get  $y_1 = x_d > y_2 \geq \dots \geq y_{d'} > 0, \dots, 0$ .
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The matrices  $A_n$  are a product of "admissible" matrices.

## Ordered group with unit

To define an "admissible" Bratteli diagram  $(V, E)$  such that  $K^0(V, E)/\text{inf}(K^0(V, E))$  is isomorphic to  $(\Gamma, \Gamma \cap \mathbb{R}^+, 1)$ :

- $\Gamma = \langle \{\alpha_l : l \geq 0\} \rangle$ ,  $\alpha_0 = 1$  and  $\alpha_1 \in (0, 1/2) \setminus \mathbb{Q}$ .
- $\Gamma_l = \langle \{\alpha_0, \dots, \alpha_l\} \rangle$ ,  $d_l$  the rank of  $\Gamma_l$ .
- $y^{(0)} = (1)$ ,  $y^{(1)} = (1 - \alpha_1, \alpha_1)$  and  $M_0 = \begin{pmatrix} 1 & 1 \end{pmatrix}$ .
- $y^{(l)}$  is a strictly decreasing and positive vector whose coordinates are a base of  $\Gamma_l$ .
- $x^{(0)}$  is a strictly decreasing and positive vector whose coordinates are a base of  $\Gamma_{l+1}$ . Applying the algorithm many times, we get  $x^{(n)} = y^{(l+1)}$  and  $M_l$  product of "admissible" matrices and with a "tight" positive cone, such that  $y^{(l)} = M_l y^{(l+1)}$ .

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The dimension group of the natural extension of the generalized odometer is the same.